



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Maxine C. Thome et al.

Examiner: Unassigned

Serial No.: 09/929,827

Group Art Unit: Unassigned

Filed: August 14, 2001

Docket No.: P147.102.101

Date Due: November 19, 2001

Title: LIGHT GUARD

COMMUNICATION RE: MISSING PARTS

Box MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the "Notice for Filing Missing Parts of Application" (see enclosed copy), an executed Declaration, Power of Attorney, and Petition by the named inventors is enclosed. Also enclosed is a check in the amounts of \$515.00 to cover the filing fee and a check in the amount of \$65.00 to cover the Missing Parts Surcharge.

Applicants assumes the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 573-2004.

Respectfully submitted,

Maxine C. Thome et al.,

By their attorneys,

DICKE, BILLIG & CZAJA, P.A.

701 Building, Suite 1250

701 Fourth Avenue South

Minneapolis, MN 55415

Telephone: (612) 573-2004

Facsimile: (612) 573-2005

Dated: October 11, 2001

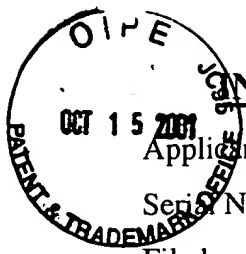
TAC:jmc

Timothy A. Czaja
Timothy A. Czaja
Reg. No. 39,649

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, as first class mail, in an envelope address to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C., 20231 on this 11th day of October, 2001.

By Timothy A. Czaja

Name: Timothy A. Czaja



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Applicant: Maxine C. Thome et al. Examiner: Unassigned
Serial No.: 09/929,827 Group Art Unit: Unassigned
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Title: LIGHT GUARD

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet containing Certificate of Mailing (1 pg.).
- ☒ Notice to File Missing Parts of Application (1 pg.).
- ☒ Communication Re: Missing Parts (1 pgs.).
- ☒ Executed Declaration and Power of Attorney Document (2 pgs.).
- ☒ Assignment of Invention to **Protective Devices, Inc.** (1 pgs.); and a Recordation Form Cover Sheet (1 pg.).
- ☒ Check in the amount of \$40.00 for filing of Assignment Document.
- ☒ Checks in the amount of \$515.00 for the Missing Parts Surcharge and \$65.00 to cover the base filing fee.
- ☒ *Applicants claim Small Entity Status.*
- ☒ Return Postcard.

If an additional fee is required due to changes to the claims, the fee has been calculated as follows:

	No. Filed	No. Extra	Rate	Fee
TOTAL CLAIMS	20 - 20 =	0	x \$9.00 =	\$0.00
INDEPENDENT CLAIMS	7 - 3 =	4	x \$40.00 =	\$160.00
[] MULTIPLE DEPENDENT CLAIMS PRESENTED				\$0.00
BASIC FEE				\$355.00
TOTAL				\$515.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. At any time during the pendency of the application, please charge any additional fees or credit overpayment to Deposit Account No. 500471.

DICKE, BILLIG & CZAJA, P.A.
701 Building, Suite 1250
701 Fourth Avenue South
Minneapolis, MN 55415

By:
Name: Timothy A. Czaja
Reg. No.: 39,649

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929,827	08/14/2001	Maxine C. Thome	P147.102.101

CONFIRMATION NO. 8836

FORMALITIES LETTER



OC00000006577646

DICKE, BILLIG & CZAJA
701 Building, Suite 1250
701 Fourth Avenue South
Minneapolis, MN 55415

Date Mailed: 09/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$320.
 - \$320 for 4 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1160.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

10/17/2001 BABRAHA1 00000100 500471 09929827

01 FC:201		370.00 OP
02 FC:202		168.00 OP
03 FC:205	23.00 CH	42.00 OP

US ACTION _____
DUE DATE 11-19-01
Paper Dated 9-19-01
OA _____ Final _____
Msg. Pt. ☒ Dwgs _____
Appeal _____ Issue Fee _____
Other _____

Effective OCTOBER 1, 2001, Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2001 is subject to the new FY 2002 fee schedule and must be paid in the new amount.



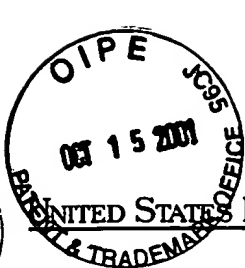
EXAMPLES OF FEES BEING ADJUSTED

See www.uspto.gov for complete FY 2001 and FY-2002 Fee Schedules

* Indicates fees remain at FY 2001 amount

DESCRIPTION	FY 2001	FY 2002
Basic filing fee - Utility	\$710	\$740
Basic filing fee - Utility (Small Entity)	\$355	\$370
Independent claims in excess of three	\$80	\$84
Independent claims in excess of three (Small Entity)	\$40	\$42
Claims in excess of twenty	\$18	\$18*
Claims in excess of twenty (Small Entity)	\$9	\$9*
Multiple dependent claim	\$270	\$280
Multiple dependent claim (Small Entity)	\$135	\$140
Surcharge - Late filing fee	\$130	\$130*
Surcharge - Late filing fee (Small Entity)	\$65	\$65*
Design filing fee	\$320	\$330
Design filing fee (Small Entity)	\$160	\$165
Plant filing fee	\$490	\$510
Plant filing fee (Small Entity)	\$245	\$255
Reissue filing fee	\$710	\$740
Reissue filing fee (Small Entity)	\$355	\$370
Reissue independent claims	\$80	\$84
Reissue independent claims (Small Entity)	\$40	\$42
Reissue claims in excess of twenty	\$18	\$18*
Reissue claims in excess of twenty (Small Entity)	\$9	\$9*
Provisional application filing fee	\$150	160
Provisional application filing fee (Small Entity)	\$75	80
Surcharge - Late provisional filing fee	\$50	\$50*
Surcharge - Late provisional filing fee (Small Entity)	\$25	\$25*
Non-English Specification	\$130	\$130*
Extension - First month	\$110	\$110*
Extension - First month (Small Entity)	\$55	\$55*
Extension - Second month	\$390	\$400
Extension - Second month (Small Entity)	\$195	\$200
Extension - Third month	\$890	\$920
Extension - Third month (Small Entity)	\$445	\$460
Extension - Fourth month	\$1,390	\$1,440
Extension - Fourth month (Small Entity)	\$695	\$720
Extension - Fifth month	\$1,890	\$1,960
Extension - Fifth month (Small Entity)	\$945	\$980
Petition	\$130	\$130*
Processing returned checks	\$50	\$50*

For further fee information, please call
(703-308-HELP)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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PART 2 - COPY TO BE RETURNED WITH RESPONSE